

01-03-02

A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION  
Under 35 USC 111(a) and Rule 53(b)**

12/28/01

Commissioner of Patents  
Washington, D.C. 20231

PATENT APPLICATION

**WITH SIGNED DECLARATION**

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

jc832 U.S. PTO  
10/034169  
12/28/01

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): Tadashi SUGIYAMA

Title DIGITAL-AUDIO-SIGNAL RECORDING APPARATUS

Atty. Dkt.: PW 0277031 | H7618US  
M# | Client Ref

Date: December 28, 2001

including:

1. Specification: 26 pages (only spec. and claims) 2.  Specification in non-English language

3. Declaration  Original  Facsimile/Copy  Abstract 1 page(s); 2 numbered claims

4.  Drawings: 10 sheet(s)  informal;  formal of size:  A4  11"

5.  See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_)

6. **AMEND the specification** please by inserting before the first line: -- This is a  Continuation-in-Part  
 Divisional  Continuation  Substitute Application (MPEP 201.09) of:  
 6(a)  National Appln. No. \_\_\_\_\_ filed \_\_\_\_\_ (M# \_\_\_\_\_)  
 6(b)  International Appln. No. \_\_\_\_\_ filed \_\_\_\_\_  
 which designated the U.S., and that International Application  was  was not published under PCT Article 21(2) in English.--

7.  **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_. --

8.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9.  Prior application is assigned to \_\_\_\_\_

by Assignment recorded Reel Frame

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. \_\_\_\_\_ (country)

| Application No. | Filing Date       | Application No.          | Filing Date  |
|-----------------|-------------------|--------------------------|--|
| (1) 2000-401812 | December 28, 2000 | (2)                      |  |
| (3)             |                   | (4)                      |  |
| (5)             |                   | (6)                      |  |
| (7)             |                   | (8)                      |  |
| (9)             |                   | <input type="checkbox"/> | See 3 <sup>rd</sup> page for additional priorities |

12.  1 (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_

13. Small entity status →  is not claimed;  is claimed (Pre-filing confirmation required)

13(a).  Attached: \_\_\_\_\_ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

13(b)  See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1)             |             | (4)             |             |
| (2)             |             | (5)             |             |
| (3)             |             | (6)             |             |

15.  This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16.  Attached:

17.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

|   | Large/Small Entity                       | Fee Code    |
|---|--|-------------|
| 18. Basic Filing Fee  | \$740/\$370                              | 101/201     |
| 19. Total Effective Claims 2 minus 20 = *0  | x \$18/\$9 = + 0                         | 103/203     |
| 20. Independent Claims 2 minus 3 = *0   | x \$84/\$42 = + 0                        | 102/202     |
|   | *If answer is zero or less, enter "0"    |             |
| 21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application) | + \$280/\$140                            | + 0 104/204 |
| 22.   | <b>TOTAL FILING FEE ENCLOSED = \$740</b> |             |
| 23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee  | + \$130                                  | + 0 139     |
| 24. If "assignment" box 8 is X'd, add recording fee   | + \$40                                   | + 40 581    |
| 25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.  | + \$130                                  | + 0 122     |
| 26.   | <b>TOTAL FEE ENCLOSED = \$780</b>        |             |

Our Deposit Account No. 03-3975

Our Order No. 051270 | 0277031

C# M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

725 South Figueroa Street, Suite 2800  
Los Angeles, CA 90017-5406  
Tel: (213) 488-7100

By Atty: Roger R. Wise

Reg. No. 31204

Sig: Roger R. Wise

Fax: (213) 629-1033

Tel: (213) 488-7584

RRW/jes

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT  
027 7031

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application  
Tadashi SUGIYAMA

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: December 28, 2001

For: **DIGITAL-AUDIO-SIGNAL RECORDING APPARATUS**

**CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL**

"Express Mail" Mailing Label No. EL 860 912 783 US

Date of Deposit: December 28, 2001



Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

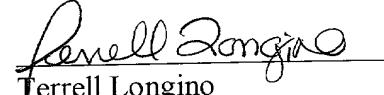
- Letter of transmittal
- Patent application (26 pages of specification; 2 claims; 1 pages of abstract)
- A check in the amount of \$740.00 to cover the filing fee
- A Declaration/Power of Attorney
- 10 sheets of informal drawings
- Return postcard
- An Assignment of the invention with cover sheet and \$40.00 recordation fee

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

December 28, 2001

Date of Deposit

  
Terrell Longino